

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
APWR-P002US

In Re Application Of: **Trenchard, et al.**

Serial No.

Filing Date
October 28, 2003

Examiner

Group Art Unit

Title: **HIGH FLUX LED LIGHTING DEVICE**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50/0987 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

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***This certificate may only be used if paying by deposit account.**



Signature

Dated: October 28, 2003

Elizabeth R. Hall

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. APWR-P002US		SERIAL NO.	
				Trenchard, et al.			
				FILING 10/28/2003		GROUP	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	US 2002/0114170 A1	08/22/2002	Chen et al.			
	B	US 2001/0030866 A1	10/18/2001	Hochstein			
	C	6,135,612	10/24/2000	Clore			
	D	6,048,083	04/11/2000	McDermott			
	E	6,013,985	01/11/2000	Green et al.			
	F	5,947,588	09/07/1999	Huang			
	G	5,931,570	08/03/1999	Yamuro			
	H	5,899,557	05/04/1999	McDermott			
	I	5,857,767	01/12/1999	Hochstein			
	J	5,833,355	11/10/1998	You et el.			
	K	5,821,695	10/13/1998	Vilanilam et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		AA	A19 Style 3D PedLED Solid-State Light Bulb, Ledtronics, Inc., 6/24/2002				
		BB	Dialight 860 Series LED Obstruction Light, Dialight Corporation, Farmingdale, NJ, date unknown.				
EXAMINER				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

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	FILING 10/28/2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
L	5,769,532	06/23/1998	Sasaki			
M	5,664,866	09/09/1997	Reniger et al.			
N	5,608,290	03/04/1997	Hutchisson et al.			
Q	5,585,783	12/17/1996	Hall			
P	5,224,773	07/06/1993	Arimura			
Q	5,140,220	08/18/1992	Hasegawa			
R	4,463,399	07/31/1984	Matherly et al.			
S	4,360,862	11/23/1982	Strasser et al.			
T	1,764,622	06/17/1930	G. Goodwin			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		CC	LED Obstruction Light Lasts Longer for Less Maintenance, Dialight Corporation, Farmingdale, N.J., 11/29/2001
		DD	Dialight Corporation Offers First LED-based Flashing Red Beacon, Dialight Corporation, Farmingdale, N.J., 06/25/2001.

EXAMINER	DATE CONSIDERED
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